

Optimal solution for the two-dimensional facility layout problem using a branch-and-bound algorithm

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Abstract

Facilities layout problem is one of the important issues affecting the productivity of manufacturing systems. This problem deals with the determination of optimum arrangement of manufacturing facilities with respect to different layout patterns. A two-dimensional layout is an arrangement fashion in which the manufacturing facilities are laid in a planar area. In this paper, a mixed-integer nonlinear mathematical programming model is proposed for determining the optimum layout of machines in a two-dimensional area. The parameters considered by the proposed model are (a) production capacity of machines, (b) multiple machines of each type (machine redundancy), (c) processing route of parts, (d) dimensions of machines. A technique is used to linearize the formulated nonlinear model. An algorithm based on branch-and-bound approach is proposed to obtain the optimal solution of the proposed mathematical programming model. A simple illustrative example is discussed to demonstrate the technique, and then small-, medium-, and large-sized problems are solved. Comparison of the layout obtained from the proposed model indicates that the proposed model considerably reduces the total distance traveled by products as compared to an optimum process layout configuration for small- and medium-sized problems. The paper concludes that the proposed branch-and-bound approach performs inefficient for large-sized problems. For large-sized problems, the proposed mathematical programming model should be solved through meta-heuristics like genetic algorithms, tabu search, etc.

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1. Introduction

Some design factors such as machines dimensions, capacity of machines, production volumes, processing routes, etc. are to be considered to achieve a good facility layout in a manufacturing environment. Regarding these factors, a layout planner seeks to obtain a layout pattern which is effective according to some measures.

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One of the most important measures to be minimized in developing a facility layout pattern is the total material handling distance/cost (Heragu & Kusiak, 1988).

In a manufacturing system, the material handling function includes transportation of work-in-process (WIP), finished parts, materials and tools between machines or workstations. While developing a layout, it is essential that the location of machines/workstations be such that the total distance traveled by personnel or material handling devices throughout the shop floor is minimized. When minimizing this measure, some design constraints are to be taken into consideration. For example, appropriate space should be considered between machines to facilitate temporary storage of WIP. It is notable that in facility layout planning the word ‘machine’ has a general meaning and may be meant as machine tools, departments, etc.

There are some layout types which are used in manufacturing systems. These layouts can be classified as process layout, product layout, cellular layout (GT), fixed-position layout, hybrid layout, etc. In these layout types, facilities can be arranged in different shapes like single-row, multi-row, circular, U-shape, etc (Solimanpur, Vrat, & Shankar, 2005). However, enforcement of facilities to get arranged in a pre-specified layout shape may increase the total distance traveled by the materials. In fact, the layout shapes mentioned above are specific forms of a general layout problem called as two-dimensional layout (TDL) (Heragu & Kusiak, 1991).

In TDL, the arrangement of facilities is not dictated by the layout shape. Instead, in TDL, the attempt is to minimize the total distance so that the clearance required between the machines is maintained. A nonlinear mathematical programming model is proposed in this paper for a two-dimensional layout problem.

2. Literature review

Love and Wong (1976a) presented a linear mixed-integer programming model for the two-dimensional layout problem. Kusiak and Heragu (1987) surveyed the optimal and heuristic models and algorithms for the two-dimensional facility layout problem. Two classes of optimal algorithms have been used to solve the facility layout problem: branch-and-bound algorithms and cutting-plane algorithms. The algorithms developed by Lawer (1963) and Kaku and Thompson (1986) are examples of optimal branch-and-bound algorithms and those developed by Bazzara and Sherali (1980) and Bukard and Bonniger (1983) are examples of cutting plane algorithms that have been developed for the linear transformations of Quadratic Assignment Problem (QAP) model. Some recent researches on layout problem are reviewed in the following.

Montreuil (1990) introduced a mixed-integer programming (MIP) model for facilities planning that has been used as the basis for several rounding heuristics.

Meller, Narayanan, and Vance (1998) reformulated Montreuil’s model by redefining his binary variables and tightening the department area constraints. They proposed some general classes of valid inequalities. Using these inequalities in a branch-and-bound algorithm, they were able to moderately increase the range of solvable problems.

Mir and Imam (2001) presented a hybrid optimization approach for the layout design of unequal-area facilities. Simulated annealing was used to optimize a randomly generated initial placement on an “extended plane” considering the unequal area facilities enclosed in magnified envelopes blocks. An analytical method was then applied to obtain the optimum placement of each envelop block. Stepwise reduction of sizes of the envelop blocks allowed controlled convergence in a multi-phase optimization process.

Heragu and Alfa (2003) experimentally analyzed performance of simulated annealing-based algorithms for the layout problem. In their research, results from an experimental analysis involving the two-way and three-way exchange algorithms, a modified penalty algorithm, the simulated annealing algorithm and a hybrid simulated annealing algorithm were presented. The hybrid simulated annealing algorithm uses the modified penalty algorithm to generate an initial solution and then improves it using simulated annealing. It was tested on one-dimensional layout problem with facilities of unequal area and two-dimensional layout problem with facilities of equal area.

Sherali, Fraticelli, and Meller (2003) presented an improved mixed-integer programming (MIP) model with effective solution strategies for the facility layout problem motivated by the work of Meller et al. (1998).

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l_i	length of machines of type i ,
b_i	width of machines of type i ,
x_{in}	horizontal coordinates of the centroid of n th machine of type i ,
y_{in}	vertical coordinates of the centroid of n th machine of type i ,
d_{ij}	minimum centroid-to-centroid distance required between machines of type i and j ,
h	horizontal length of available area,
v	vertical length of available area.

3.3. Proposed mathematical models

3.3.1. Model M1

This model is a two-dimensional extension of the ABSMODEL presented by Heragu and Kusiak (1991). However, in the proposed model, we use a pair (i, n) of indices (rather than a single index) to address the n th machine of type i and that the flow between each pair of machines is not fixed.

The objective function for this problem is to minimize the total distance traveled by the materials in the shop floor. This objective can be mathematically represented as follows:

$$\sum_{p=1}^P \sum_{i=1}^I \sum_{j=1(j \neq i)}^I \sum_{n=1}^{N_i} \sum_{r=1}^{N_j} a_{ij}^p D_p Z_{inp} Z_{jrp} (|x_{in} - x_{jr}| + |y_{in} - y_{jr}|) \quad (1)$$

The constraints set includes the following:

C1. Ensure the location of each machine is feasible:

$$0 \leq |x_{in} - x_{jr}| \leq h \quad (2)$$

$$0 \leq |y_{in} - y_{jr}| \leq v \quad (3)$$

C2. Ensure that no two facilities in the layout overlap:

$$|x_{in} - x_{jr}| + M \alpha_{injr} \geq .5 * (l_i + l_j) + d_{ij} \quad (4)$$

$$|y_{in} - y_{jr}| + M(1 - \alpha_{injr}) \geq .5 * (b_i + b_j) + d_{ij} \quad (5)$$

$$\alpha_{injr}(1 - \alpha_{injr}) = 0 \quad i = 1, \dots, I \ \& \ j = i + 1, \dots, I \ \& \ n = 1, \dots, N_i \ \& \ r = 1, \dots, N_j \quad (6)$$

where M is an arbitrary large positive number.

Constraint (6) ensures that only one of the constraints (4) and (5) holds. It should be noted that the solution of this model may result in a layout in which there is empty space between machines, which is unavoidable in practical machine layout.

C3. Ensure that product p visits all the machine types required in its route:

$$\sum_{n=1}^{N_i} Z_{inp} = b_{ip} \quad i = 1, \dots, I \ \& \ p = 1, \dots, P \quad (7)$$

C4. Ensure that the capacity of each machine is maintained:

$$\sum_{p=1}^P Z_{inp} t_{ip} D_p \leq C_i \quad i = 1, \dots, I \ \& \ n = 1, \dots, N_i \quad (8)$$

3.3.2. Model M2

The model M1 is a nonlinear mixed-integer programming model with an absolute operator in the objective function and constraints (2)–(5). Presence of absolute function in the model makes it difficult to optimally solve the problem. The technique applied in Kusiak (1990) is used here to linearize the absolute terms in the objective function and constraints. The modified model is named as model M2. Let us define

$$x_{injr}^+ = \begin{cases} x_{in} - x_{jr} & \text{if } x_{in} \geq x_{jr} \\ 0 & \text{if } x_{in} < x_{jr} \end{cases} \quad (9)$$

$$x_{injr}^- = \begin{cases} -(x_{in} - x_{jr}) & \text{if } x_{in} \leq x_{jr} \\ 0 & \text{if } x_{in} > x_{jr} \end{cases} \quad (10)$$

$$\beta_{injr} = \begin{cases} 1 & \text{if } x_{in} < x_{jr} \\ 0 & \text{if } x_{in} \geq x_{jr} \end{cases} \quad (11)$$

$$y_{injr}^+ = \begin{cases} y_{in} - y_{jr} & \text{if } y_{in} \geq y_{jr} \\ 0 & \text{if } y_{in} < y_{jr} \end{cases} \quad (12)$$

$$y_{injr}^- = \begin{cases} -(y_{in} - y_{jr}) & \text{if } y_{in} \leq y_{jr} \\ 0 & \text{if } y_{in} > y_{jr} \end{cases} \quad (13)$$

$$\gamma_{injr} = \begin{cases} 1 & \text{if } y_{in} < y_{jr} \\ 0 & \text{if } y_{in} \geq y_{jr} \end{cases} \quad (14)$$

By substituting the variables defined above in the model M1, the following mixed-integer nonlinear programming model is derived:

$$\text{Min : } \sum_{p=1}^P \sum_{i=1}^I \sum_{j=1(j \neq i)}^I \sum_{n=1}^{N_i} \sum_{r=1}^{N_j} a_{ij}^p D_p Z_{inp} Z_{jrp} (x_{injr}^+ + x_{injr}^- + y_{injr}^+ + y_{injr}^-) \quad (15)$$

Subject to:

$$\sum_{n=1}^{N_i} Z_{inp} = b_{ip}; \quad i = 1, \dots, I, \quad p = 1, \dots, P \quad (16)$$

$$\sum_{p=1}^P Z_{inp} t_{ip} D_p \leq C_i; \quad i = 1, \dots, I, \quad n = 1, \dots, N_i \quad (17)$$

$$(x_{in} - x_{jr}) + M\alpha_{injr} + M\beta_{injr} \geq .5 * (l_i + l_j) + d_{ij} \quad (18)$$

$$-(x_{in} - x_{jr}) + M\alpha_{injr} + M(1 - \beta_{injr}) \geq .5 * (l_i + l_j) + d_{ij} \quad (19)$$

$$x_{in} - x_{jr} = x_{injr}^+ - x_{injr}^- \quad (20)$$

$$(y_{in} - y_{jr}) + M(1 - \alpha_{injr}) + M\gamma_{injr} \geq .5 * (b_i + b_j) + d_{ij} \quad (21)$$

$$-(y_{in} - y_{jr}) + M(1 - \alpha_{injr}) + M(1 - \gamma_{injr}) \geq .5 * (b_i + b_j) + d_{ij} \quad (22)$$

$$y_{in} - y_{jr} = y_{injr}^+ - y_{injr}^- \quad (23)$$

$$0 \leq -(x_{in} - x_{jr}) \leq h + M\beta_{injr} \quad (24)$$

$$0 \leq -(x_{in} - x_{jr}) \leq h + M(1 - \beta_{injr}) \quad (25)$$

$$0 \leq (y_{in} - y_{jr}) \leq v + M\gamma_{injr} \quad (26)$$

$$0 \leq -(x_{in} - x_{jr}) \leq h + M(1 - \beta_{injr}) \quad (27)$$

$$\alpha_{injr}, \beta_{injr}, \gamma_{injr} \in \{0, 1\} \quad i = 1, \dots, I, \quad j = i + 1, \dots, I, \quad n = 1, \dots, N_i, \quad r = 1, \dots, N_j \quad (28)$$

There are two difficulties to obtain the optimum solution of the model M2. These difficulties are: (i) nonlinearity of the objective function, (ii) the large number of binary variables for a real-sized problem.

The nonlinearity of model M2 is due to the multiplication of binary variables Z in the objective function. To rectify the nonlinear term, all the possible combinations of values of these variables are enumerated. Since these values only affect constraints (16) and (17), those combinations which satisfy these constraints are considered and the rest are eliminated from further consideration.

The constraints (16) and (17) largely reduce the number of feasible combination of values. Therefore, for each feasible combination of values of variables Z , a mixed-integer linear programming model is resulted. The

branch-and-bound technique is then applied to the resulted linear models to obtain the optimal solution of model M2.

4. Branch-and-bound approach

An algorithm based on branch-and-bound approach is proposed to solve the linear mixed-integer models. Elements of the proposed branch-and-bound approach are discussed in the following sections.

A simple example called as Example 1 is considered to describe the proposed approach. Let us consider layout of three machine types to be arranged in a two-dimensional area. It is assumed that there is only one product with a demand of five parts per day. There is only a single machine of each type. The dimensions and capacity of machines and the processing times are given in Table 1. The minimum clearance required between machines 1–2 is 4 m and it is 2m between machines 1–3 and 2–3. The product initially visits machine 1, then goes to machines 2 and 3, respectively.

4.1. Initialization

The procedure is initiated by relaxing constraint (28), and substituting them with the following constraints:

$$0 \leq \alpha_{inir} \leq 1 \quad (29)$$

$$0 \leq \beta_{inir} \leq 1 \quad (30)$$

$$0 \leq \gamma_{inir} \leq 1 \quad i = 1, \dots, I; \quad j = 1, \dots, I; \quad n = 1, \dots, N_i; \quad r = 1, \dots, N_i \quad (31)$$

$UB = \infty$ and the lower bound of new model, $LB_0 = 0$.

4.2. Branching

As one can see in constraints 18, 19, 21 and 22, there are two integer variables in each constraint. Thus, every node must be branched to four new nodes. In each step, the node with minimum LB is selected. This node is then branched to four nodes. Out of four nodes, two nodes are with:

$$\alpha_{injr} \geq 1 \quad (32)$$

and other two nodes with:

$$\alpha_{inir} \leq 0 \quad (33)$$

The first two branches satisfy constraints (21) and (22) and ignore constraints (18) and (19). Therefore, there is no need to consider variable β_{injr} since it appears in constraints (18) and (19). These two branches are distinguished by second new constraints as:

$$\gamma_{inir} \leq 0 \quad (34)$$

and

$$\gamma_{inir} \geq 1 \quad (35)$$

The second two branches satisfy constraints (18) and (19) and ignore constraints (21) and (22). Thus, there is no need to consider variable γ_{injr} since it appears in constraints (21) and (22). These two branches are distinguished by second new constraints as:

$$\beta_{inir} \leq 0 \quad (36)$$

Table 1
The problem data of Example 1

Machine type	Length (m)	Width (m)	Processing time (min)	Capacity (min/day)
1	6	6	5	300
2	4	6	5	300
3	8	4	3	400

and

$$\beta_{injr} \geq 1 \quad (37)$$

In the example, the branching is initiated with $(\alpha_{1121} \leq 0, \beta_{1121} \leq 0)$, $(\alpha_{1121} \leq 0, \beta_{1121} \geq 1)$, $(\alpha_{1121} \leq 0, \gamma_{1121} \leq 0)$ and $(\alpha_{1121} \leq 0, \gamma_{1121} \geq 1)$. As shown in Fig. 1, the initial branching corresponds to branch $k = 1$ ($\alpha_{1121} = 0, \beta_{1121} = 0$), branch $k = 2$ ($\alpha_{1121} = 0, \beta_{1121} = 1$), branch $k = 3$ ($\alpha_{1121} = 1, \gamma_{1121} = 0$) and branch $k = 4$ ($\alpha_{1121} = 1, \gamma_{1121} = 1$). Each branch is a simple linear model which can be solved optimally by the Simplex method.

4.3. Labeling

For each of the four new branches the label (lower bound) LB_k is determined by solving the model with the new constraints associated with each branch. As the branching goes deeper, the model is further restricted and thus lower bound for each node increases. This fact is obviously clear from Fig. 1. The node with $k = 0$ has $LB_k = 0$. In this case, all variables α, β, γ are continuous variables within zero and one. While branches go deeper in the search tree, the lower bound LB_k increases accordingly.

4.4. Setting bounds

Branch k is bounded if:

- (1) lower bound LB_k is greater than UB (e.g. node $k = 6$ is bounded since UB has already been updated to 85 at node $k = 13$),
- (2) there is no feasible solution (e.g. at node $k = 3$),

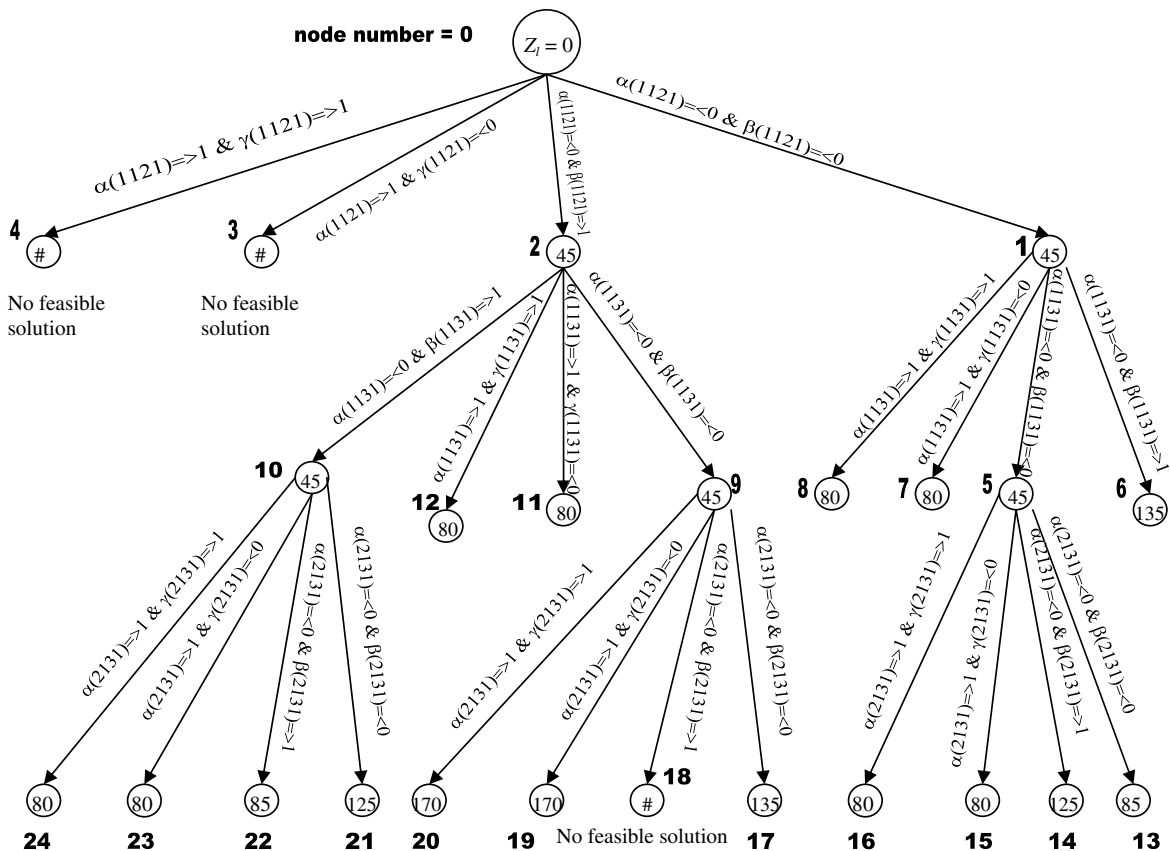


Fig. 1. Branch-and-bound tree search of example problem.

- (3) a feasible (integer) solution is obtained (e.g. at node $k = 13$). In this case, it is set as $LB_k = UB$.

The proposed branch and bound algorithm terminates when all nodes are fathomed. Obviously, the final solution of current sub-problem will be the solution corresponding to the last UB.

4.5. Branch selection

Considering all nodes in each step, the one with smallest LB is selected. The information for other branches is recorded. If a branch is bounded, the jump tracking procedure is launched to find the next node with the lowest LB .

The lower bound of branch $k = 1$ is $LB_1 = 45$, for $k = 2$ is $LB_2 = 45$, for $k = 3$ is $LB_3 = \infty$ and for $k = 4$ is $LB_4 = \infty$. Thus, branch $k = 1$ and $k = 2$ are selected (Fig. 1). The branching process is continued with $LB_1 = 45$.

4.6. Updating of the upper bound

When all variables α, β, γ take integer values (0 or 1) the solution obtained is a feasible complete solution to the facility layout problem. If the value of the objective function for this solution, LB_k , is less than UB (the current best value of the objective function), then UB is updated, i.e. $UB = LB_k$.

For the example (at node $k = 13$), $LB = 85$, all variables α, β, γ have integer values and hence, this is a feasible solution. Up to this point, the UB was equal to ∞ , and hereafter, the UB is updated to be equal to 85.

4.7. Jump tracking

This procedure is used when a node is branched and a new lower bound value is obtained. The jump tracking procedure sorts the LB for all obtained nodes and selects the lowest of them. If there are two or more nodes with lowest value of LB , then the deepest node would be selected. If there are more than one branch with lowest LB in the same level the one with smaller node number is selected. Referring to Fig. 1, the nodes 2 and 3 have the same LB , and hence node 2 is branched prior to node $k = 3$.

4.8. Final solution

When the algorithm based on branch-and-bound approach is applied to all feasible sets of variables Z the solution with lowest UB is selected as the final solution. There might be several solutions with lowest UB . In this case, the layout designer can consider the real aspects not captured in the model, and then select the most practically applicable solution. The optimal layout of facilities for the example problem is shown in Fig. 2, which corresponds to node 15 in Fig. 1.

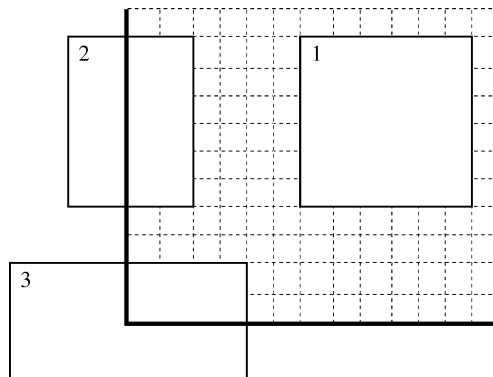


Fig. 2. The final layout obtained by the proposed method for the illustrative example.

5. Flowchart

The steps of the proposed approach are illustrated in Fig. 3. The first step is to find all possible sets of variables Z , satisfying constraints (16) and (17). For the illustrative example, the only feasible values for variables Z are $Z_{111} = 1$, $Z_{121} = 1$, $Z_{131} = 1$. By fixing variables Z , the problem becomes a linear mixed-integer model instead of a nonlinear one and can be solved with the proposed branch-and-bound algorithm. Therefore, corresponding to each set of feasible values for variables Z , there will be a linear mixed-integer model. The next step is to determine the optimum location of each machine (variables x_{in}, y_{in}). The optimum location of centroids of machines in Example 1 is $(x_1, y_1) = (9, 7)$ for machine 1, $(x_2, y_2) = (0, 7)$ for machine 2, and $(x_3, y_3) = (0, 0)$ for machine 3 as shown in Fig. 2.

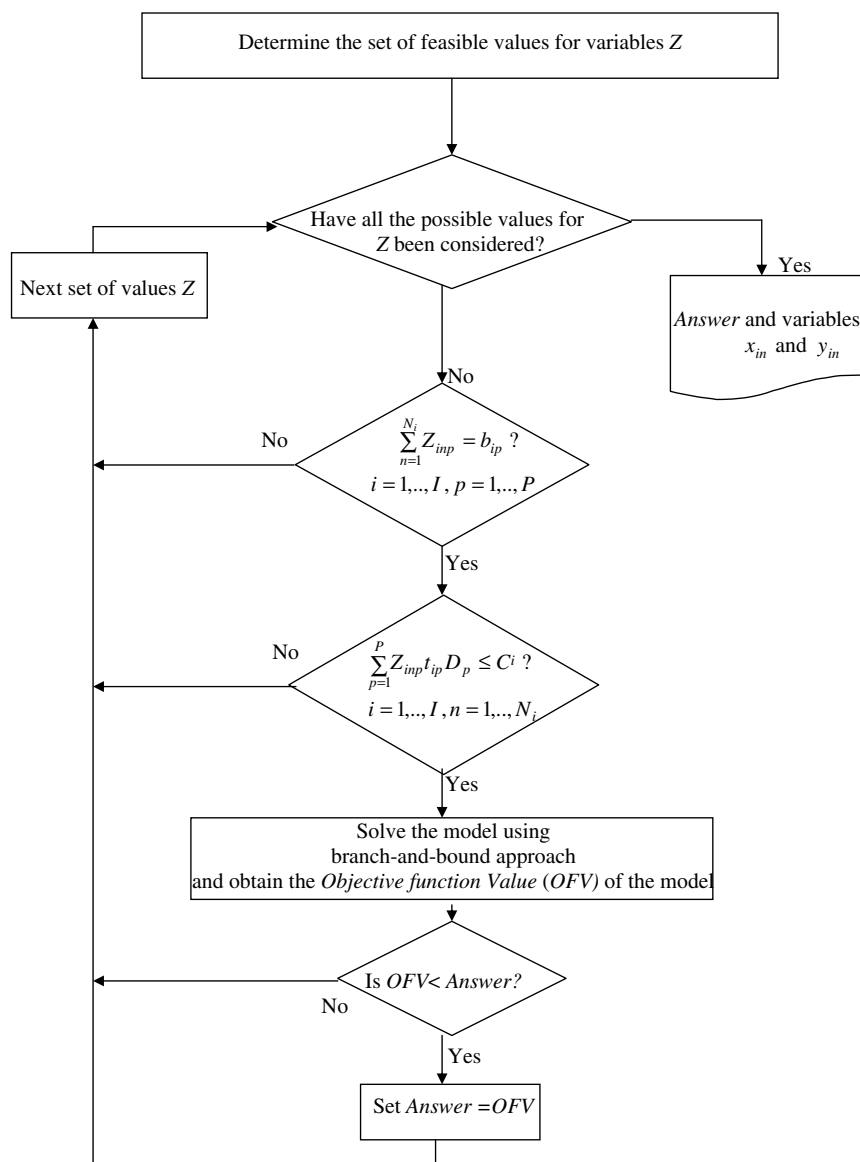


Fig. 3. Flowchart of the proposed algorithm.

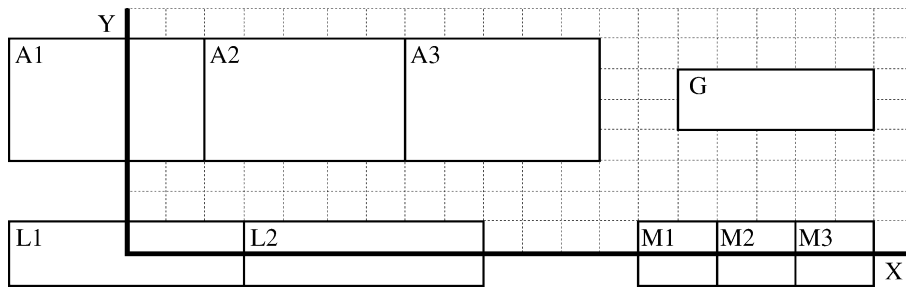


Fig. 5. The process layout of Example 2.

Table 6
Medium-sized problem data

Machine type	Number of machines	Dimension (X)	Dimension (Y)
1	2	2	3
2	1	2	3
3	1	2	3
4	2	2	3
5	3	2	2
6	1	2	1
7	1	2	2
8	2	1	3
9	1	2	1
10	1	2	1

Table 7
The demand and process route of each product type for medium-sized problem

Product type	Demand	Required sequence
1	15	1–2–4–8–10
2	13	5–1–4
3	12	3–6–7–9
4	11	2–1–3
5	14	4–5–10

is notable that the layout of machines is not a frequent problem and it may arise for a company once at the beginning or when reconfiguration of manufacturing facilities is needed. On the other hand, the 44.8% reduction in total distance is attained everyday and the company can be benefited from this reduction daily for its life time. Hence, it can be judged that the performance of the proposed approach for medium-sized problems is acceptable.

Tables 8 and 9 show the data for a large-sized problem. The solution process for this problem was interrupted after 1, 4, 8, 16, and 24 h. The solution process was terminated after 24 h before its completion. Even after four hours, the proposed approach could not obtain a feasible solution for this problem. After 8, 16, and 24 h, the objective function value yet obtained by the proposed approach was 11345, 11345, and 9187, respectively. This value for a process layout, however, was 3467. Hence, it may be stated that the proposed approach can not be used for large-sized problems.

7. Conclusion

In this paper, a new nonlinear mixed-integer programming model is presented for the facility layout problem in a two-dimensional area to minimize the total distance traveled by the material in the shop floor. A technique is used to linearize the proposed model. An algorithm based on branch-and-bound approach is

y_{in} will be fixed in Eq. (1) and the model can be solved to obtain variables Z . These variables determine the processing routes of each product so that the total distance is minimized.

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